

02/12/02  
36759 U.S. PTO

02-14-02

PTO/SB05 (10-00)

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Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	1867-00203
	First Inventor	Steven Dietz
	Title	Mesoporous Carbons And Polymers From Hydroxylated Benzenes
Express Mail Label No.		EV 065958065 US

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Commissioner for Patents Box Patent Application Washington, D.C. 20231
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1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies
3. <input checked="" type="checkbox"/> Specification [Total Pages 30] (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	<b>ACCOMPANYING APPLICATION PARTS</b> 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee) 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input type="checkbox"/> Other:
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 5]	
5. Oath and Declaration [Total Pages ] a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	
6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

<input type="checkbox"/> Continuation	<input type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-in-Part (CIP)	of prior application No.:
Prior application information:			Examiner
Group/Art Unit:			

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

<b>18. CORRESPONDENCE ADDRESS</b>				
<input checked="" type="checkbox"/> Customer Number of Bar Code Label		23505	or	<input type="checkbox"/> Correspondence address below
Name				
Address				
City	State	Zip Code		
Country	Telephone	Fax		

Name (Print/Type)	Marcella D. Watkins	Registration No. (Attorney/Agent)	36,962
Signature			Date February 12 2002

Burden Hour Statement: This form is estimated to take 0.2 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, D.C. 20231.

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# FEE TRANSMITTAL

## For FY 2002

Patent fees are subject to annual revision.

### Complete if Known

Application Number	Not Yet Assigned
Filing Date	Concurrently Herewith
First Named Inventor	Steven Dietz
Examiner Name	Not Yet Assigned
Group Art Unit	Not Yet Assigned
Attorney Docket No.	1867-00203

**TOTAL AMOUNT OF PAYMENT** \$1,105.00

### METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:  
 Deposit Account Number: 03-2769  
 Deposit Account Name: Conley, Rose & Tayon, P.C.  
☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:  
☐ Check ☐ Credit Card ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)				
101 740	201 370			Utility filing fee	\$370.00
106 330	206 165			Design filing fee	\$
107 510	207 255			Plant filing fee	\$
108 740	208 370			Reissue filing fee	\$
114 160	214 80			Provisional filing fee	\$

**SUBTOTAL (1) \$370.00**

#### 2. EXTRA CLAIM FEES

		Extra Claims	Fee from	Fee Paid
			below	
Total Claims	69 - 20** =	49 x	9.00	= \$441.00
Independent Claims	10 - 3** =	7 x	42.00	= \$294.00
Multiple Dependent			280.00	= \$00.00

Large Entity	Small Entity	Fee	Fee	Fee Description
Code (\$)	Code (\$)			
103 18	203 9			Claims in excess of 20
102 84	202 42			Independent Claims in excess of 3
104 280	204 140			Multiple dependent claim, if not paid
109 84	209 42			** Reissue independent claims over original patent
110 18	210 9			** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) \$735.00**

\*\* or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES


Large Entity	Small Entity	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)				
105 130	205 65			Surcharge - late filing fee or oath	\$
127 50	227 25			Surcharge - late provisional filing fee or cover sheet	\$
139 130	139 130			Non-English specification	\$
147 2,520	147 2,520			For filing a request for <i>ex parte</i> reexamination	\$
112 920*	112 920*			Requesting publication of SIR prior to Examiner action	\$
113 1,840*	113 1,840*			Requesting publication of SIR after Examiner action	\$
115 110	215 55			Extension for reply within first month	\$
116 400	216 200			Extension for reply within second month	\$
117 920	217 460			Extension for reply within third month	\$
118 1,440	218 720			Extension for reply within fourth month	\$
128 1,960	228 980			Extension for reply within fifth month	\$
119 320	219 160			Notice of Appeal	\$
120 320	220 160			Filing a brief in support of an appeal	\$
121 280	221 140			Request for oral hearing	\$
138 1,510	138 1,510			Petition to institute a public use proceeding	\$
140 110	240 55			Petition to revive - unavoidable	\$
141 1,280	241 640			Petition to revive - unintentional	\$
142 1,280	242 640			Utility issue fee (or reissue)	\$
143 460	243 230			Design issue fee	\$
144 620	244 310			Plant issue fee	\$
122 130	122 130			Petitions to the Commissioner	\$
123 50	123 50			Petitions related to provisional applications	\$
126 180	126 180			Submission of Information Disclosure Stmt	\$
581 40	581 40			Recording each patent assignment per property (times number of properties)	\$
146 740	246 370			Filing a submission after final rejection (37 CFR § 1.129(a))	\$
149 740	249 370			For each additional invention to be examined (37 CFR § 1.129(b))	\$
179 740	279 370			Request for Continued Examination (RCE)	\$
169 900	169 900			Request for expedited examination of a design application	\$
Other fee (specify)					\$

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) \$**

### SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Marcella D. Watkins	Registration No. (Attorney/Agent)	36,962	Telephone	(713) 238-8000
Signature		Date	February 12, 2002		

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